



**INFORMATION ABOUT BUSINESS ACTIVITY OF THE CLIENT**

Business profile of the Client's business activity, within which the Banking services will be used:

1.	3.
2.	4.

Detailed description of the business activity within which the Client will use the Banking services:

Does the percentage of passive gross income of your Company in total gross yield constitute at least 50%? (passive income may be, for example, interest income, dividends, rental income as well as royalty fee)

 NO                       YES

Is your Company registered appropriately and does it have any license if it is required for performance of the business activity?

 not required                       YES (please submit copy of license)

Do you perform any type of activity listed below?

- NO                       YES (please specify the type of business activity by checking the box next to the appropriate type of activity)
- |  |  |   |
|--|--|---|
| <input type="checkbox"/> intermediary in real property business  | <input type="checkbox"/> trade in precious metals and stones   | <input type="checkbox"/> investment services and ancillary investment services  |
| <input type="checkbox"/> provision of CVIT services  | <input type="checkbox"/> trade in arms and munitions   | <input type="checkbox"/> organization of gambling   |
| <input type="checkbox"/> reinsurance business, except if deliverer of services has the appropriate license and is supervised, or international rating agencies have given an estimate in investment category | <input type="checkbox"/> provision of money services (for ex. payment counters, currency exchange offices, agents for money transfer or deliverers of other services associated with money transfer) | <input type="checkbox"/> lawyer services or services for establishment and maintenance of activity of a legal formation, where it opens in its name a bank account in order to perform financial transactions on behalf of a client |
| <input type="checkbox"/> intermediary services in currency trading   | <input type="checkbox"/> non-staff accountant services, when it opens in its name a bank account in order to perform financial transactions on behalf of a client                                    |   |

Regions of the Client's business activity (countries, where the commercial activity is performed):

- Latvia \_\_\_\_\_
- EU (specify country) \_\_\_\_\_
- CIS (specify country) \_\_\_\_\_
- Other countries, regions (specify) \_\_\_\_\_

 The client is a company, political party, cooperative society, municipality or municipal company
Number of employees:     1-10                       10-50                       50-250                       more than 250How long the company has been working in the industry?     less than 1 year                       1- 3 years                       more than 3 yearsAnnual turnover of the company, EUR:     0-500 K                       500 K – 1m                       more than 1m

Origin of funds for conduct of business:

 income from business activity                       borrowed funds                       other (specify) \_\_\_\_\_
Does the Client have accounts in other banks?     NO                       YES (specify) \_\_\_\_\_**INFORMATION ABOUT PLANNED MONTHLY TRANSACTIONS ON ACCOUNTS**

Type of transaction	Quantity	Average turnover (EUR)
Incoming payments		
Outgoing payments		
Cash deposit		
Cash withdrawal, including using a payment card		

Bank	Client's representative	Client's representative	Client's representative
(signature)	(signature)	(signature)	(signature)

**INFORMATION ON A PLANNED ONE-TIME TRANSACTION OF THE MAXIMUM AMOUNT**

Please specify information on a one-time transaction of the maximum amount, if you plan any. This section is to be filled in if you are to perform financial transactions which will not be a part of your regular monthly turnover (e.g., sale of property, placing a deposit, etc.)

Transaction type	Transaction purpose	Amount (EUR)
Cashless transaction, incl. settlements performed using a payment card, transfer made from an account		
Cash transaction, incl. cash withdrawal using a payment card from an ATM or POS, or cash pay-out at the Bank's customer service centre		

**MAIN BUSINESS PARTNERS, WITH WHOM IT IS PLANNED TO SETTLE TRANSACTIONS****Incoming payments**

Name of partner	Country of registration	Country of business activity/ country to where products / services are supplied	Type of main business activity / product, service name , type of transactions

**Outgoing payments**

Name of partner	Country of registration	Country of business activity/ country where products and services are obtained	Type of main business activity / product, service name , type of transactions

**THE CLIENT'S CONFIRMATION ON THE TYPE OF BUSINESS ACTIVITY**

Does the Client provide any of the following services as part of its business activity?

- Reception of deposits ( as main activity of the Client) as part of banking business or within the other similar sphere
- Transactions with money market instruments (notes, bills of credit, derivatives, investment certificates), interest rate and index instruments, derivative transactions, transactions with conversion instruments, with transferable securities, performed by the Client on behalf of/in favor of its customers
- Individual and/or collective portfolio management on behalf of/in favor of its customers
- Other investment activities and money management on behalf of third parties
- Accumulative insurance services, which provide life-insurance operations with creation of savings, including investment on behalf of its customers and obligations of payout of the accrued capital
- Storage of financial assets of third parties (if customer's gross yield as the result of storage of such assets and provision of financial services related therewith is not less than 20% of the gross yield of the customer)

Is any of the aforementioned services marked?

- NO  YES (it is required to submit W-8BEN-E form or GIIN (Global Intermediary Identification Number) that confirms the Client's compliance with FATCA requirements)

**BANKING SERVICES THAT THE CLIENT IS PLANNING TO USE**

- transfers:  EUR  USD  other \_\_\_\_\_  loans  settlement cards
- investment in financial instrument  currency conversion  deposits

**ARE THE FINANCIAL STATEMENTS OF THE COMPANY SUBMITTED TO THE OFFICIAL INSTITUTION**

Are the Financial Statements of the company submitted to the official institution?  NO  YES

Was the Financial Statement for the last accounting period submitted to the official institution?  NO  YES

By signing it, I hereby confirm that the information given is accurate and I undertake to notify AS "PNB Banka" immediately in writing about all the changes in the submitted information. I am informed and agree that in accordance with Article 195.1 of the Criminal Code of the Republic of Latvia the deliberate provision of the false information to the Bank is a criminal infraction and is punishable under criminal law. I am informed and agree that the Bank reserves the right of validity check of the information, as well as the right to obtain information about the Client. I undertake to submit the documents required for performing the operations at the first request of the Bank and on a date settled by the Bank.

**CLIENT REPRESENTATIVE'S SIGNATURE**

Date \_\_\_\_\_ 20\_\_\_\_ Client legal representative's position, name, surname and signature \_\_\_\_\_

Date \_\_\_\_\_ 20\_\_\_\_ Client legal representative's position, name, surname and signature \_\_\_\_\_

Date \_\_\_\_\_ 20\_\_\_\_ Client legal representative's position, name, surname and signature \_\_\_\_\_

S.s.

**BANK'S NOTES**

Identity and authorization of the Client's representative are checked:  YES  NO

Signed in my presence:  YES  NO

Received in the Bank on: \_\_\_\_\_ 20\_\_\_\_

Bank's employee: \_\_\_\_\_  
(position, signature, name, surname)