

CLIENT'S NOTIFICATION ABOUT THE STATUS OF A POLITICALLY EXPOSED PERSON (for natural persons non-residents of the Republic of Latvia)

Client's code

CLIENT'S INFORMATION

Name, surname

Date of birth

INFORMATION REGARDING STATUS OF A POLITICALLY EXPOSED PERSON

Politically exposed person - a person, who is entrusted or was entrusted with prominent public position in the Republic of Latvia, in member state of the European Union or of the European Economic Area, or in a third country other than the Republic of Latvia, a member state of the European Union or of the European Economic Area, including a prominent public official, the head of state administrative unit (local government), the head of the government, a minister (a deputy minister or a deputy minister assistant if such a position exists in the state), a state secretary or another high rank officials in the government or in the state administrative unit (local government), a member of the parliament or a member of a similar legislative structure, a member of a governing body (board) of the political party, a judge of a constitutional court, supreme court or a judge of another court (member of a judicial institution), a member of the council or of the board of a high-ranking audit (review) commission, a member of the council or of the board of a central bank, an ambassador, a chargé d'affaires, a high-ranking military officer, a member of the council or of the board of a state-owned enterprise, head of an international organization (director, deputy director) or member of the board or a person who holds an equivalent position in the organization.

Member of the family of politically exposed person - a spouse or a person equivalent to a spouse. A person shall be treated as equivalent to a spouse provided that the laws of the respective country contain a provision for such status; a child or a child of a spouse of a politically exposed person, or of a person equivalent to a spouse, his/her spouse or a person equivalent to a spouse, parents, grandparents, grandchildren, brothers and sisters.

Person closely connected to a politically exposed person - a natural person, about whom it is known to have business or other close relationship with any politically exposed person, or who is a shareholder or participant of the business society with any politically exposed person, as well as a natural person that is a sole owner of a legal arrangement that is known to be established for the benefit de facto of any politically exposed person.

Is the Client a politically exposed person, member of family of a politically exposed person, or person closely connected to a politically exposed person?

YES (please fill in the following information): NO

- I am politically exposed person
- I am a family member of politically exposed person
- I am a person who is closely associated with a politically exposed person

Country where politically exposed person holds/held a prominent public position _____

Name, surname of politically exposed person (for paragraphs 2, 3) _____

Occupied position (for paragraphs 1,2,3):

- | | | |
|---|---|--|
| <input type="checkbox"/> Head of State | <input type="checkbox"/> Other high level official in government or state administrative unit (municipality, including deputy at municipal level) | <input type="checkbox"/> Ambassador or chargés d'affaires |
| <input type="checkbox"/> Head of state administrative unit(municipality) | <input type="checkbox"/> Member of parliament or member of other similar legislative bodies | <input type="checkbox"/> Member of Central bank's council or board |
| <input type="checkbox"/> Head of government | <input type="checkbox"/> Member of governing bodies (board) of a political party | <input type="checkbox"/> High-ranking military officer |
| <input type="checkbox"/> Minister, deputy minister or a deputy minister assistant | <input type="checkbox"/> Judge of constitutional court, supreme court or a judge of another court (member of a judicial institution) | <input type="checkbox"/> Member of the council or of the board of a state-owned enterprise |
| <input type="checkbox"/> State secretary | <input type="checkbox"/> Member of the council or of the board of a high-ranking audit (review) commission | <input type="checkbox"/> Head of an international organization (director, deputy director) or member of the board or a person who holds an equivalent position in the organization |

By signing it, I hereby confirm that the submitted information is true and accurate and I undertake to notify AS "PNB Banka" (hereinafter referred to as the Bank) immediately in writing about all the changes in the submitted information. I am informed and agree that in accordance with Article 195.1 of the Criminal Code of the Republic of Latvia the provision of false information to the Bank is a criminal infraction and is punishable under the criminal law. I am informed and agree that the Bank reserves the right of validity check of the information, as well as the right to obtain information about the Client. I undertake to submit the required documents at the first request of the Bank and within the time limit set by the Bank.

SIGNATURE OF CLIENT/CLIENT'S LEGAL REPRESENTATIVE

Signature, name, surname of the Client/Client's legal representative

Date: _____ 20____

SIGNATURE OF AS "PNB Banka" EMPLOYEE/INTERMEDIARY

Identification of the Client/Client's legal representative has been performed. Authorization of the Client's legal representative has been checked. The document has been signed in my presence.

Position, signature, name, surname of the employee/intermediary of AS "PNB Banka"

Date: _____ 20____

NOTES OF AS "PNB Banka"

Position, signature, name, surname of the representative of AS "PNB Banka"

Date: _____ 20____

L.S.