

QUESTIONNAIRE FOR A CLIENT

(for natural persons non-residents of the Republic of Latvia)

Client's code

Dear Client! In accordance with the international standards and the laws and regulations of the European Union and the Republic of Latvia, AS "PNB Banka" (hereinafter referred to as the Bank) must obtain from you the information requested in this form. The Bank ensures confidentiality of the received information in accordance with the requirements of the laws and regulations.

We ask you to fill out all the boxes of the form in full and with good faith. The received information will allow the Bank to offer you attractive Banking services. The Bank would like to thank you for understanding and cooperation in filling out the form.

CLIENT'S INFORMATION

Name, surname

Date of birth

Place of birth (specify country)

Declared place of residence

Actual residence address (if differs from the declared one)

Mailing address (if differs from the declared one)

Phone number (with country code)

Mobile phone number (with country code)

E-mail address

Skype

Select the language of communication with the Bank:

Russian

English

Latvian

INFORMATION ABOUT THE CONTACT PERSON OF THE CLIENT (provided information will be used if the Client could not be reached)

Name, surname

Phone number (with country code)

Mobile phone number (with country code)

E-mail address

Skype

INFORMATION ABOUT THE CLIENT'S LEGAL REPRESENTATIVE (if any)

Name, surname

Personal identity number and date of birth (for residents of the Republic of Latvia) or date of birth (for non-residents of the Republic of Latvia)

Declared place of residence (actual)

Client's legal representative acts on the basis of

POA

other (specify)

INFORMATION ABOUT THE CLIENT'S STATUS AND SOURCE OF INCOME

wage earner

student

entrepreneur

government employee

Name of employer/
educational institution

Country of employer/
educational institution

Type of activities

Position

holder of pension

unemployed person

other (specify)

Is the above-mentioned type of activity connected to the Republic of Latvia?

NO

YES

Bank

Client

(signature)

(signature)

Source of income:

- | | | |
|---|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> salary/royalties | <input type="checkbox"/> inheritance | <input type="checkbox"/> scholarship |
| <input type="checkbox"/> revenue from sale of capital shares (stocks) | <input type="checkbox"/> deed of gift | <input type="checkbox"/> pension |
| <input type="checkbox"/> self-employment person (specify type of activity) | _____ | |
| <input type="checkbox"/> lease of personal property (specify the type of property) | _____ | |
| <input type="checkbox"/> sale of personal property (specify the type of property) | _____ | |
| <input type="checkbox"/> real estate transactions (specify the type of real estate) | _____ | |
| <input type="checkbox"/> dividends / interest (specify the payer) | _____ | |
| <input type="checkbox"/> other (specify) | _____ | |

Client's average monthly income in EUR (please specify the amount) _____

REASONS FOR OPENING OF ACCOUNT IN LATVIA

- | | | |
|---|---|---|
| <input type="checkbox"/> possibility of providing services to foreign clients | <input type="checkbox"/> residence permit in Latvia | <input type="checkbox"/> competitive rates |
| <input type="checkbox"/> partners have accounts opened in the banks in Latvia | <input type="checkbox"/> individual service | <input type="checkbox"/> property in Latvia |
| <input type="checkbox"/> accessibility (business hours, online bank, etc.) | <input type="checkbox"/> stable financial system | <input type="checkbox"/> stable political environment |
| <input type="checkbox"/> other (specify) | _____ | |

ACCOUNTS IN OTHER BANKS

- NO YES (please specify)

Bank	Country
1.	1.
2.	2.
3.	3.

THE BANK'S SERVICES PLANNED TO BE USED

- | | | |
|--|--|---|
| <input type="checkbox"/> settlement accounts | <input type="checkbox"/> foreign exchange transactions | <input type="checkbox"/> loans / lease |
| <input type="checkbox"/> payment cards | <input type="checkbox"/> transactions with financial instruments | <input type="checkbox"/> trust transactions |
| <input type="checkbox"/> remote account management | <input type="checkbox"/> savings accounts | <input type="checkbox"/> deposits |
| <input type="checkbox"/> payments: <input type="checkbox"/> USD <input type="checkbox"/> EUR <input type="checkbox"/> other currencies (specify) _____ | | |
| <input type="checkbox"/> other (specify) _____ | | |

INFORMATION ON PLANNED INCOMING PAYMENTS IN AS "PNB Banka"

Please specify purpose of payments:

- | | | |
|---|--------------------------------------|--|
| <input type="checkbox"/> salary/ royalties | <input type="checkbox"/> inheritance | <input type="checkbox"/> loan (credit) |
| <input type="checkbox"/> income from personal property | <input type="checkbox"/> gift | <input type="checkbox"/> scholarship |
| <input type="checkbox"/> interest receivable/ dividends | <input type="checkbox"/> pension | |
| <input type="checkbox"/> other (specify) | | |

Please specify countries from where payments will arrive: _____

Bank	Client
(signature)	(signature)

INFORMATION ON PLANNED OUTGOING PAYMENTS IN AS "PNB Banka"

Please specify purpose of payments:

 household expenses repayment of credits issue of loan other (specify) _____Please specify countries to which the payments will be made: _____
_____**PLANNED MONTHLY TRANSACTIONS ON ACCOUNTS (EUR)**

Type of transaction	Number of transactions	Maximum turnover per month
Incoming non-cash payments		
Outgoing non-cash payments		
Cash deposit		
Cash withdrawal, including using a payment card		

PLANNED MAXIMUM AMOUNT OF ONE TRANSACTION (EUR)

Type of transaction	Amount
Non-cash transaction, incl. with a payment card	
Cash transaction, incl. with a payment card	

If financial transactions that do not form a regular monthly turnover are planned, please specify the purpose and the amount in EUR (e.g. sale of property, deposit etc.).

PLANNED AVERAGE AMOUNT OF BALANCE OF ACCOUNTS (IN EUR)

_____ (specify the amount)

WILL THE TRANSACTIONS ON THE ACCOUNTS BE LINKED TO THE BUSINESS ACTIVITY OF THE CLIENT? NO YES (please fill out the form "Notification of client - natural person non-resident of the LR about business activity")**ARE YOU A BENEFICIARY, I.E. A TRUE BENEFICIARY AND PARTY CONCERNED, IN TRANSACTIONS WITH THE BANK?** YES NO (please fill out the form "True beneficiary's card for client - natural person non-resident of the LR")

By signing it, I hereby confirm that the information given is true and accurate and I undertake to notify the Bank immediately in writing about all the changes in the submitted information. I am informed and agree that in accordance with Article 195.1 of the Criminal Code of the Republic of Latvia the provision of false information to the Bank is a criminal infraction and is punishable under the criminal law. I am informed and agree that the Bank reserves the right of validity check of the information, as well as the right to obtain information about the Client. I undertake to submit the required documents at the first request of the Bank and within the time limit set by the Bank.

SIGNATURE OF CLIENT/CLIENT'S LEGAL REPRESENTATIVE

Signature, name, surname of the Client/Client's legal representative

Date: _____ 20____

SIGNATURE OF AS "PNB Banka" EMPLOYEE/INTERMEDIARY

Identification of the Client/Client's legal representative has been performed. Authorization of the Client's legal representative has been checked. The document has been signed in my presence.

Position, signature, name, surname of the employee/intermediary of AS "PNB Banka"

Date: _____ 20____

NOTES OF AS "PNB Banka"

Position, signature, name, surname of the representative of AS "PNB Banka"

Date: _____ 20____

L.S.