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| **CUSTOMER’S NOTIFICATION ABOUT THE STATUS OF A POLITICALLY EXPOSED PERSON** *(for Customer - natural person)* | | | | | | | | | Customer’s code |
| **CUSTOMER'S INFORMATION** | | | | | | | | | |
| Name, surname | | | | | | Date of birth | | | |
| **INFORMATION REGARDING STATUS OF A POLITICALLY EXPOSED PERSON** | | | | | | | | | |
| **Politically exposed person** - a person, who is entrusted or was entrusted with prominent public position in the Republic of Latvia, in member state of the European Union or of the European Economic Area, or in a third country other than the Republic of Latvia, a member state of the European Union or of the European Economic Area, including a prominent public official, the head of state administrative unit (local government), the head of the government , a minister ( a deputy minister or a deputy minister assistant if such a position exists in the state), a state secretary or another high rank officials in the government or in the state administrative unit (local government), a member of the parliament or a member of a similar legislative structure, a member of a governing body (board) of the political party, a judge of a constitutional court , supreme court or a judge of another court (member of a judicial institution), a member of the council or of the board of a high-ranking audit (review) commission, a member of the council or of the board of a central bank, an ambassador, a chargé d’affaires, a high-ranking military officer, a member of the council or of the board of a state-owned enterprise, head of an international organization (director, deputy director) or member of the board or a person who holds an equivalent position in the organization.  **Member of the family of politically exposed person** - a spouse or a person equivalent to a spouse. A person shall be treated as equivalent to a spouse provided that the laws of the respective country contain a provision for such status; a child or a child of a spouse of a politically exposed person, or of a person equivalent to a spouse, his/her spouse or a person equivalent to a spouse, parents, grandparents, grandchildren, brothers and sisters.  **Person closely connected to a politically exposed person** - a natural person, about whom it is known to have business or other close relationship with any politically exposed person, or who is a shareholder or participant of the business society with any politically exposed person, as well as a natural person that is a sole owner of a legal arrangement that is known to be established for the benefit de facto of any politically exposed person. | | | | | | | | | |
| **Is the Customer a politically exposed person, member of family of a politically exposed person, or person closely connected to a politically exposed person?** | | | | | | | | | |
| YES (please fill in the following information): | | | | NO | | | | | |
| 1.  I am politically exposed person | | | | | | | | | |
| 2.  I am a family member of politically exposed person | | | | | | | | | |
| 3.  I am a person who is closely associated with a politically exposed person | | | | | | | | | |
| Country where politically exposed person holds/held a prominent public position | | | | | | | |  | |
| Name, surname of politically exposed person (for paragraphs 2, 3) | | | | |  | | | | |
| Occupied position (for paragraphs 1,2,3): | | | | | | | | | |
| Head of State | Other high-level official in government or state administrative unit (municipality, including deputy at municipal level) | | | | | | Ambassador or chargés d’affaires | | |
| Head of state administrative  unit(municipality) | Member of parliament or member of other similar legislative bodies | | | | | | Member of Central bank’s council or board | | |
| Head of government | Member of governing bodies (board) of a political party | | | | | | High-ranking military officer | | |
| Minister, deputy minister  or a deputy minister assistant | Judge of constitutional court, supreme court or a judge of another court (member of a judicial institution) | | | | | | Member of the council or of the board of a state-owned enterprise | | |
| State secretary | Member of the council or of the board of a high-ranking audit (review) commission | | | | | | Head of an international organization (director, deputy director) or member of the board or a person who holds an equivalent position in the organization | | |
| Instituion where politically exposed person holds/held position (for paragraphs 1,2,3) |  | | | | | |  | | |
| Period during which position is/was held (for paragraphs 1,2,3) |  | | | | | |  | | |
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| *By signing it, I hereby confirm that the submitted information is true and accurate and I undertake to notify the insolvent AS “PNB Banka” (hereinafter referred to as the Bank) immediately in writing about all the changes in the submitted information. I am informed and agree that in accordance with Article 195.1 of the Criminal Code of the Republic of Latvia the provision of false information to the Bank is a criminal infraction and is punishable under the criminal law. I am informed and agree that the Bank reserves the right of validity check of the information, as well as the right to obtain information about the Customer. I undertake to submit the required documents at the first request of the Bank and within the time limit set by the Bank.* | | | | | | | | | |
| **SIGNATURE OF CUSTOMER OR CUSTOMER’S LEGAL REPRESENTATIVE** | | | | | | | | | |
| Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_\_\_ | | | Signature, name, surname of the Customer or Customer’s legal representative | | | | | | |
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| **SIGNATURE OF THE BANK EMPLOYEE** | | | | | | | | | |
| Face to face identification of the Customer or Customer’s legal representative has been performed. Authorization of the Customer’s legal representative has been checked. The document has been signed in my presence. | | | | | | | | | |
| Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_\_\_ | | Position, signature, name, surname of the employee of the Bank | | | | | | | |
| **NOTES OF THE BANK** | | | | | | | | | |
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| Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_\_\_ | | Position, signature, name, surname of the representative of the Bank  ***L.S.*** | | | | | | | |